

PATENT  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/030162

In re Application of:

Inventor: MODL et al.

Examiner: Not Assigned

Serial No: 10/030,162

Art Unit: Not Assigned

Filed: 30 January 2002

Atty Dkt: MODL3003/JEK

For: METHOD, DEVICE AND SYSTEM FOR AUTHENTICATION OF A  
USER AND A TERMINAL

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to Rule 37 C.F.R. §§ 1.51(b), 1.56, 1.97 and 1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith.

**ADDITIONAL DOCUMENTS OR INFORMATION NOT LISTED ON  
ACCOMPANYING FORM PTO1449 SUBMITTED FOR CONSIDERATION**

International Search Report dated October 27, 2002 in PCT/EP00/07122 of which this application is the U.S. National Stage.

**CONCISE STATEMENT OF RELEVANCY**  
**(NON-ENGLISH LANGUAGE DOCUMENTS ONLY)**

With the exception of German references DE 42 31 913 C2 and DE 197 55 092 A1, all of the documents are mentioned in the International Search Report established in the PCT application of which this is the U.S. National Phase. As a courtesy, Applicants submit herewith a copy of the International Search Report along with the references cited therein. The relevancy of the documents mentioned in the PCT application is evident from the International Search Report that is part of the file of this application.

DE 42 31 913 C2 discloses an apparatus for testing the authorization of a person to gain access to a certain device. The access authentication test relates only to the authentication of the user and merely discloses that data are presented on a display and the data being relevant in relation to the business transaction. The respective data relates generally to accessible information such as the account number.

DE 197 55 092 A1 discloses a method of checking a codeword according to a challenge-response method. This type of method is used in order to reciprocally authenticate a data carrier and a terminal.

**STATEMENT REGARDING TRANSLATIONS**

Translations of the non-English documents are not available to Applicants' Attorney.

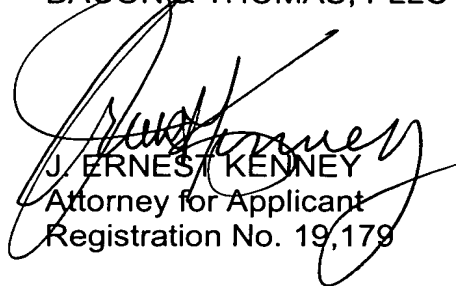
**CERTIFICATION**

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application, and therefore no fee is necessary.

Serial Number: 10/030,162  
Art Unit: Not Assigned

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Respectfully submitted,  
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Date: 24 April 2002

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B/O Form PTO-1449  U.S. Department of Commerce Patent and Trademark Office  Information Disclosure Statement by Applicant	Docket Number <b>MODL3003/JEK/JJC</b>		Serial Number <b>10/030,162</b>
	Applicant <b>Albert MÖDL et al.</b>		
	Filing Date <b>January 30, 2002</b>	Group <b>Not Assigned</b>	

## U.S. Patent Documents

Examiner Initial	Document Number	Date	Patentee/Applicant	Class	Subclass	Filing Date if Appropriate
	5,208,447	May 1993	Kruse			
	5,239,166	Aug. 1993	Graves			
	5,721,781	Feb. 1998	Deo et al.			

## Foreign Patent Documents

Examiner Initial	Document Number	Publication Date	Country/Agency	Class	Subclass	Translation	
						Yes	No
	0875868	Apr. 1998	EPO				
	DE 4231913	Jan. 1994	Germany				X
	DE 19755092	June 1999	Germany				X

## Other Documents (Including Author, Title, Date, Pertinent Pages, Place of Publication, Etc.)


Examiner	Date Considered
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EXAMINER: Initial if citation is considered, whether or not citation is in conformance with MPEP 609; Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.